Assessing the Effectiveness of Alliance Responses to Regional and Global Threats

U.S.-Japan Security and Defense Dialogue Series

31 January–1 February 2018 Minato-ku, Tokyo, Japan
The U.S.-Asia Security Initiative
U.S.-Japan Security and Defense Dialogue Series

Assessing the Effectiveness of Alliance Responses to
Regional and Global Threats

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Co-sponsored by the U.S.-Asia Security Initiative, in the Walter H. Shorenstein Asia-Pacific Research Center, Freeman Spogli Institute for International Studies, Stanford University, and the Sasakawa Peace Foundation (Japan)

Additional support for the Workshop provided by the Carnegie Corporation of New York

Workshop Format

The workshop is intended to facilitate frank discussions between academic scholars, subject matter experts, government officials, and military leaders on current strategic and operational security challenges to the U.S.-Japan Alliance. Each session will begin with two 15–20 minute presentations, followed by open discussion. All dialogue will be off the record, although notes will be taken with the aim of producing a workshop report with no direct attribution of remarks, as under the Chatham House Rule.
Day One—31 January 2018 (Wednesday)

0845–0900 Welcoming Remarks

United States: Karl Eikenberry—Director of the U.S.-Asia Security Initiative, Shorenstein Asia-Pacific Research Center, Stanford University; Former U.S. Ambassador and Lieutenant General (Retired), U.S. Army

Japan: Noboru Yamaguchi—Senior Advisor, Sasakawa Peace Foundation; Lieutenant General (Retired), Japan Ground Self-Defense Force; Professor, International University of Japan

0900–1030 Session I: American and Japanese Assessments of Security Trends in East Asia

Presenters from the United States and Japan provide assessments of the current security situation in East Asia and in the greater Indo-Asia-Pacific region, taking note of the most significant security challenges and areas of growing concern. The analyses will include a review of the implications for the U.S.-Japan security alliance as well as the implications for security cooperation measures throughout the region.

Topics of Discussion:

• Korean Peninsula (ballistic missile and nuclear proliferation)
• People’s Republic of China (maritime and territorial disputes; Taiwan)
• Influence of Russia-China cooperation
• India (its growing role in Indo-Asia-Pacific security and stability)
  ○ India’s views toward increased U.S.-Japan regional security cooperation
  ○ Border dispute tensions with China
• Southeast Asia (potential flashpoints for increased tensions or conflict; post-TPP economic/trade competition; maritime and territorial disputes)
  ○ Southeast Asian views toward increased U.S.-Japan regional security cooperation

United States: Christopher Twomey—Associate Professor of National Security Affairs, Naval Postgraduate School

Japan: Sugio Takahashi—Chief, Policy Simulation Division, National Institute for Defense Studies
1030–1045  Break


Participants analyze the adequacy of U.S.-Japan joint training, operations, and strategic planning, examining the Alliance’s ability to respond jointly to regional challenges and exploring prospects for strengthening combined security cooperation measures throughout the region. Participants will also review the progress in training, operations, and strategic planning since Japan revised its security legislation in 2015.

Topics of Discussion:
• Command and control
• Combined planning
• Interoperability of systems
• Joint access and use of base facilities in Japan
• Effectiveness of force integration and security cooperation measures in response to external crises (i.e., Joint Defense Guidelines, Alliance Coordination Mechanism, Bilateral Planning Mechanism, Defense Trilateral Talks, etc.)

United States: James Schoff—Senior Associate, Asia Program, Carnegie Endowment for International Peace

Japan: Jun Nagashima—Lieutenant General, Japan Air Self-Defense Force; Commandant, Center for Air Power Strategic Studies and Air Staff College

1215–1345  Lunch and Keynote Address

Introduction: Junko Chano—Executive Director, Sasakawa Peace Foundation

Speaker: Nobukatsu Kanehara—Assistant Chief Cabinet Secretary, Office of the Prime Minister

1345–1400  Break

1400–1600  Session III: Security Cooperation and Instability on the Korean Peninsula

With each new demonstration of North Korea’s growing nuclear weapons and ballistic missile capabilities, the U.S.-Japan Alliance faces an expanding array of security challenges. Participants will examine the strengths and shortfalls of the current approach for countering the growing threat from North Korea (and, in the event of conflict, the potential involvement of the People’s Republic of China, and possibly Russia).

Topics of Discussion:
• Best approaches to alliance signaling for strengthening Extended Deterrence
• Trilateral security cooperation and the Korean Peninsula
  ○ Defense trilateral talks and the status of Japan-U.S.-Korea Defense cooperation measures
• Joint contingency planning—defending against North Korea
• Missile defense—the challenges of combined defense
  ○ Regional alliances and partners: pursuing the integration of missile defense systems and other security cooperation measures in the face of growing threats from North Korea and Chinese opposition to THAAD
Workshop Schedule

- Crisis Management
  - Alliance responses to a security or humanitarian crises on the Korean Peninsula, including non-combatant evacuation operations
- Consequence management (nuclear and chemical)

**United States:** Vincent Brooks—General, U.S. Army; Commanding General of United States Forces Korea, United Nations Command, and Republic of Korea United States Combined Forces Command
  
  David Straub—Sejong-LS Fellow, The Sejong Institute; Senior Foreign Service Officer (Retired), U.S. Department of State

**Japan:** Noboru Yamaguchi—Senior Advisor, Sasakawa Peace Foundation; Lieutenant General (Retired), Japan Ground Self-Defense Force; Professor, International University of Japan

**1600–1630 Conference Summary and Closing Remarks**

**United States:** Karl Eikenberry—Director of the U.S.-Asia Security Initiative, Shorenstein Asia-Pacific Research Center, Stanford University; former U.S. Ambassador and Lieutenant General (Retired), U.S. Army

**Japan:** Noboru Yamaguchi—Senior Advisor, Sasakawa Peace Foundation; Lieutenant General (Retired), Japan Ground Self-Defense Force; Professor, International University of Japan

**1630–1745 Closing Reception for Workshop Participants and Observers**

**Location:** International House Conference Room

**1745–1830 Break and Transition to Dinner Location**

**1830 Closing Dinner for Select Conference Participants**

**Location:** Matsubaya, Akasaka

**2100 End of Dinner and Official End of the Workshop**
Guest Speakers

Vincent K. Brooks  General, U.S. Army; Commanding General, United Nations Command / Combined Forces Command / U.S. Forces Korea

Nobukatsu Kanehara  Assistant Chief Cabinet Secretary; Deputy Secretary-General of National Security Secretariat

U.S. Conference Participants

STANFORD UNIVERSITY

Karl W. Eikenberry  Director, U.S.-Asia Security Initiative; Oksenberg-Rohlen Fellow, Walter H. Shorenstein Asia-Pacific Research Center, Freeman Spogli Institute for International Studies; Former U.S. Ambassador to the Islamic Republic of Afghanistan; Lieutenant General (Retired), U.S. Army

Michael H. Armacost  Shorenstein APARC Fellow at the Walter H. Shorenstein Asia-Pacific Research Center, Freeman Spogli Institute for International Studies; Former U.S. Ambassador to Japan and to the Republic of the Philippines

Takeo Hoshi  Director, Japan Program, Walter H. Shorenstein Asia-Pacific Research Center; Henri and Tomoye Takahashi Senior Fellow, Freeman Spogli Institute for International Studies

Daniel C. Sneider  Lecturer, East Asian Studies; Visiting Scholar, Walter H. Shorenstein Asia-Pacific Research Center; Visiting Researcher at the Canon Institute for Global Studies, Tokyo, Japan

Belinda A. Yeomans  Associate Director, U.S.-Asia Security Initiative, Walter H. Shorenstein Asia-Pacific Research Center, Freeman Spogli Institute for International Studies

NON-GOVERNMENT

Jeffrey W. Hornung  Political Scientist, RAND Corporation; Former Fellow, Security and Foreign Affairs Program, Sasakawa USA

James L. Schoff  Senior Associate, Carnegie Asia Program, Carnegie Endowment for International Peace; Former Senior Adviser for East Asia Policy at the Office of the Secretary of Defense

David Shear  Senior Advisor, McLarty Associates; Former Assistant Secretary of Defense for Asian and Pacific Security Affairs; Former U.S. Ambassador to Vietnam

W. David Straub  Sejong-LS Fellow, The Sejong Institute, Seoul, Republic of Korea; Former Senior Foreign Service Officer, U.S. Department of State

Christopher P. Twomey  Associate Professor of National Security Affairs at the Naval Postgraduate School, Monterey, California; Analyst in support of the Office of the Secretary of Defense (Policy) and the State Department on diplomatic engagements in Asia across Asia; Adviser in support of USPACOM, STRATCOM, and the Office of Net Assessmen

GOVERNMENT AND MILITARY

U.S. Embassy, Tokyo, Japan

Jessica Berlow  Political Military Officer at the United States Embassy in Tokyo, Japan

Marvin Haynes  Lieutenant Colonel in the U.S. Army; Assistant Army Attaché, Defense Attaché Office, U.S. Embassy, Tokyo, Japan

Nick Larsen  First Secretary and Deputy Political-Military Unit Chief of the Political Section, U.S. Embassy in Tokyo, Japan
Paula D. Marshall Lieutenant Colonel in the U.S. Marines; U.S. Marine Corps Attaché, Defense Attaché Office, United States Embassy in Tokyo, Japan

Manuel Picon Captain in the U.S. Navy; Senior Defense Official and Defense Attaché, U.S. Embassy in Tokyo, Japan

Mark S. Riley Colonel, U.S. Army; Chief, Mutual Defense Assistance Office

Aaron David Snipe Director for Political-Military Affairs, U.S. Embassy in Tokyo, Japan

Joseph M. Young Deputy Chief of Mission, U.S. Embassy in Tokyo, Japan

United States Forces Japan (USFJ)

Charles Chiarotti Major General, U.S. Marine Corps; Deputy Commander, USFJ

Burke R. Hamilton Colonel in the U. S. Army; Director, Plans & Policy (USFJ J5)

U.S. Pacific Command (USPACOM)

Joaquin Malavet Major General, U.S. Marine Corps; Director, Strategic Planning and Policy (J5)

John Wood Director, Pacific Outreach, U.S. Pacific Command (J9)

Philip Yu Captain, U.S. Navy; Chief, Northeast Asia Policy Division (J51)

U.S. Pacific Fleet (PACFLT)

William Duff Political Advisor for the Commander, U.S. Pacific Fleet

James Hartman Captain, U.S. Navy: Liaison Officer to the Japanese Maritime Self Defense Force


Dean Vaughn COMPACFLT N5 Japan Affairs, Ballistic Missile Defense Policy and Multilateral Initiatives (N5D), U.S. Pacific Fleet

U.S. Army Pacific (USARPAC)

Michael Brannen Colonel, U.S. Army; Director, Strategic Effects, United States Army Japan

Rodney Rose Deputy Director, Strategic Effects, United States Army Japan

Thomas Scott Lieutenant Colonel, U.S. Army; U.S. Army Pacific Liaison Officer to the Ground Staff Office, Japan Ground Self-Defense Force

U.S. Marine Corps Forces Pacific (MARFORPAC)

Robert T. Castro Colonel, U.S. Marine Corps; Assistant Chief of Staff, Planning, III Marine Expeditionary Force Headquarters, Camp Courtney (Okinawa)

Jonathan C. Goff Colonel, U.S. Marine Corps; U.S. Marine Corps Forces Pacific Liaison Officer to Japan Ground Self Defense Force

United States Forces Korea (USFK)

Kimberly Coniam Deputy Director of Strategy, Commander’s Strategic Initiatives Group

Matthew Stumpf Director for Strategy for United Nations Command, Combined Forces Command, and United States Forces Korea
Japan Conference Participants

SASAKAWA PEACE FOUNDATION

Noboru Yamaguchi  Senior Advisor, Sasakawa Peace Foundation; Lieutenant General (Retired), Japan Ground Self-Defense Force; Professor, International University of Japan

Bonji Ohara  Senior Fellow, Sasakawa Peace Foundation; Captain (Retired), Japan Maritime Self-Defense Force

Tsuneo Watanabe  Senior Fellow, Sasakawa Peace Foundation

NON-GOVERNMENT

Atsuhito Isozaki  Associate Professor, Keio University

Ken Jimbo  Associate Professor, Keio University

Shin Kawashima  Professor, The University of Tokyo

Yoji Koda  Vice Admiral (Retired), Japan Maritime Self-Defense Force; former Commander-in-Chief of the Maritime Self-Defense Fleet

Satoru Mori  Professor, Hosei University

Toshimichi Nagaiwa  Representative, Nagaiwa Associates; Lieutenant General (Retired), Japan Air Self-Defense Force

Ryo Sahashi  Associate Professor of International Politics and Associate Dean of Faculty of Law, Kanagawa University

Kunio Takahashi  Vice Chairman, Institute for International Strategy, the Japan Research Institute, Ltd.

Hideshi Tokuchi  Former Vice-Minister of Defense for International Affairs, Ministry of Defense

GOVERNMENT AND MILITARY

Seiichiro Akimitsu  Colonel, Group Chief, Planning Desk, Policy Planning Section, International Security Cooperation and Policy Division, Grand Staff Office

Chuji Ando  Major General, Japan Air Self-Defense Force; Commander, Air Tactics Development Wing, Yokota Air Base

Yusuke Arai  Director, National Security Policy Division, Foreign Policy Bureau, Ministry of Foreign Affairs

Takashi Ariyoshi  Director, Japan-U.S. Security Treaty Division, Ministry of Foreign Affairs

Yukiko Bito  Commander, Japan Maritime Self-Defense Force; Senior Researcher, National Policy and Strategic Office, Japan Maritime Self-Defense Force

Shunichiro Hatano  Rear Admiral, Japan Maritime Self-Defense Force; Vice President, Japan Maritime Self-Defense Force Command and Staff College

Masafumi Iida  Senior Research Fellow, China Division, National Institute for Defense Studies

Takahiro Katayama  Lieutenant Colonel, Center for Air Power Strategic Studies, Air Staff College, Japan Air Self-Defense Force

Keizo Kitagawa  Captain, Japan Maritime Self-Defense Force; Director, National Security and Strategic Studies Office, Strategic Studies Department, Japan Maritime Self-Defense Force Command and Staff College
Masashi Kondo  Captain, Japan Maritime Self-Defense Force; Director, Defense and International Policy Planning Division, Ministry of Defense

Jun Nagashima  Lieutenant General, Japan Air Self-Defense Force; Commandant, Center for Air Power Strategic Studies and Air Staff College, Japan Air Self-Defense Force

Hiroaki Nakamura  Major General, Japan Ground Self-Defense Force; Vice Commanding General, Japan Ground Self-Defense Force Research & Development Command

Masanori Nishi  Special Adviser to the Minister of Defense; former Administrative Vice Minister of Defense

Atsushi Saito  Colonel, Japan Ground Self-Defense; Researcher (Future Vision), Japan Ground Self-Defense Force Research & Development Command

Sugio Takahashi  Chief, Policy Simulation Division, National Institute for Defense Studies

Takayoshi Tsukamoto  Lieutenant Colonel; Japan-U.S. Cooperation Desk, Policy Planning Section, International Security Cooperation and Policy Division, Grand Staff Office

Workshop Secretariat

Michael MacArthur Bosack  Workshop Rapporteur (Sasakawa Peace Foundation); Ph.D. Candidate, Graduate School of International Relations, International University of Japan; Former Deputy Chief of Government Relations, Headquarters, U.S. Forces, Japan

Marcus A. Morgan  Workshop Rapporteur (Stanford University); Major, U.S. Army; U.S. Army Foreign Area Officer (Japan); M.A. Candidate, Center for East Asian Studies, Stanford University